

10-28-03

3621 #8  
135OCT 27 2003  
PATENT & TRADEMARK  
OFFICE  
**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
09469/014001

103-03

In Re Application Of: Chui-Shan TERESA LAM et al.

Serial No.  
10/082,758Filing Date  
February 25, 2002Examiner  
John M. WINTERGroup Art Unit  
3621Title: **METHOD AND APPARATUS FOR MANAGING A KEY MANAGEMENT SYSTEM**

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);**OR**☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
09469/014001

In Re Application: Chui-Shan TERESA LAM et al.

Serial No.

10/082,758

Filing Date

February 25, 2002

Examiner

John M. WINTER

Group Art Unit

3621

**METHOD AND APPARATUS FOR MANAGING A KEY MANAGEMENT SYSTEM**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-0591** as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

Jonathan P. Osha, Reg. No. 33,986  
ROSENTHAL & OSHA L.L.P.  
1221 McKinney, Suite 2800  
Houston, Texas 77010  
Telephone: (713) 228-8600  
Facsimile: (713) 228-8778

Dated: 10/27/03

Express Mail No. EL 974017498 US

cc:



<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b> Applicant(s): Chui-Shan TERESA LAM et al.			<b>Docket No.</b> 09469/014001
<b>Serial No.</b> 10/082,758	<b>Filing Date</b> February 25, 2002	<b>Examiner</b> John M. WINTER	<b>Group Art Unit</b> 3621

**Invention:**

**METHOD AND APPARATUS FOR MANAGING A KEY MANAGEMENT SYSTEM**

I hereby certify that the following correspondence:

Transmittal of Information Disclosure Statement under 37 CFR 1.97(b) (2 pages) and Form PTO-1449 (1 page) with cited references (3 documents)

*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria,

VA 22313-1450 on October 27, 2003  
*(Date)*

Staci R. Tulley

*(Typed or Printed Name of Person Mailing Correspondence)*

*Staci R. Tulley*

*(Signature of Person Mailing Correspondence)*

EL 974017498 US

*("Express Mail" Mailing Label Number)*

**Note: Each paper must have its own certificate of mailing.**


**INFORMATION DISCLOSURE STATEMENT**  
 (Use several sheets if necessary)

ATTY. DOCKET NO.:

09469/014001

SERIAL NO.:

10/082,758

APPLICANT:

Chui-Shan TERESA LAM et al.

FILING DATE:

2/25/2002

ART UNIT:

3621

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	REF	DOCUMENT NO.	DATE	APPLICANT'S OR PATENTEE'S NAME
	A1	2001/0019614 A1	09/06/2001	Madoukh
	A2	2001/0026619 A1	10/04/2001	Howard, JR. et al.

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS	REF	DOCUMENT NO.	DATE	COUNTRY	TRANSLATION	
					YES	NO

**OTHER DOCUMENTS**

EXAMINER INITIALS	REF	(Include Author, Title, Date, Pertinent Pages, etc.)
	A3	ISA/European Patent Office, PCT Invitation to Pay Additional Fees for PCT/US03/05767, 07/25/2003, 5 pages

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.